

MATHEMATICS AND MUSIC

by José Francisco Rodrigues*

In four movements — Pythagorean Arithmusic, Tone Algebra, Harmonisation of Analysis, Digital Murgurgia — and through a few examples, we will present a brief introduction to the numerous interactions between mathematics and music throughout history, which can help us understand the modern interpretation of Leibniz’s expression:

Musica est exercitium arithmeticae occultum nescientis se numerare animi.^[+]

1. PYTHAGOREAN ARITHMUSIC

C’est par les nombres et non par le sens qu’il faut estimer la sublimité de la musique. Etudiez le monocorde.^[++]

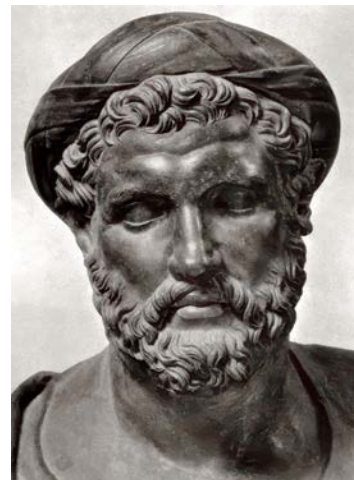
—Diderot, *Pythagoreanism*, Encyclopédie XII (1765)

Guido d’Arezzo (992–1050?) in the *Micrologus*, attributes to Pythagoras (6th century BCE) the fundamental discovery of the dependence of musical intervals on the quotients of the first integers numbers, writing:

A certain Pythagoras, on one of his journeys, happened to pass a workshop where an anvil was being beaten with five hammers. Astonished by the pleasant harmony (*concordiam*) they produced, our philosopher approached them and, thinking at first that the quality of the sound and harmony (*modulationis*) lay in the different hands, exchanged the hammers. In this way, each hammer retained its own sound. After removing one that was dissonant, he weighed the others and, marvellously, by the grace of God, the first weighed *twelve*, the second *nine*, the third *eight*, the fourth *six* of I don’t know what unit of weight.

For the Pythagorean School, the harmony of sounds was in direct correspondence with the arithmetic of proportions:

unison — ratio 1 : 1 octave (*diapason*) 1 : 2
fifth (*diapente*) 2 : 3 fourth (*diatessaron*) 3 : 4



Left: Bust of Pythagoras. Right: Denis Diderot (1713–1784)

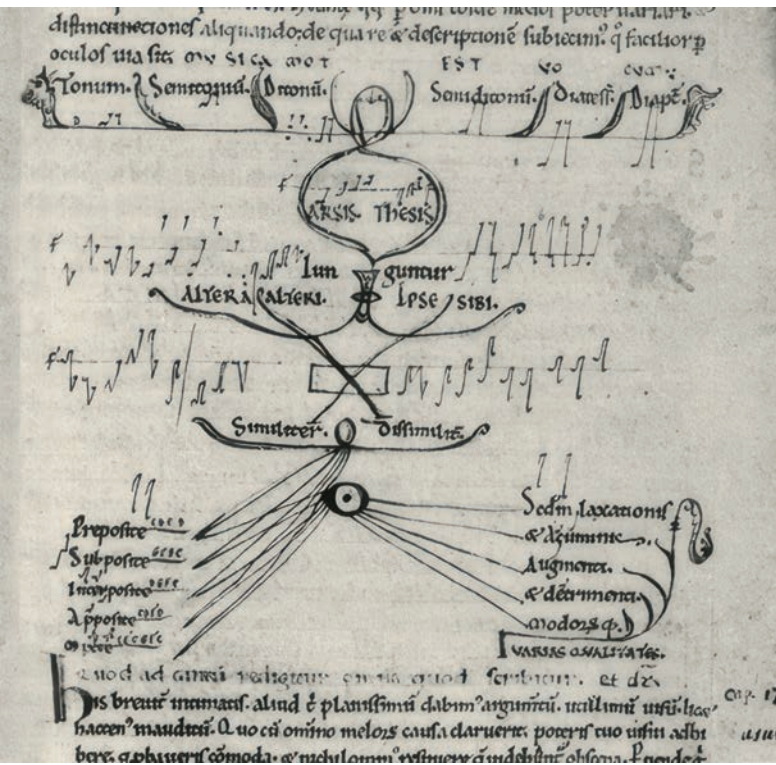
These ratios can be obtained from those four numbers, corresponding respectively to a string length equal to 12 units (*unison*), halved to 6 (*octave*), 8 units (*fifth*) or 9 (*fourth*).

The Greek heritage, was transmitted in particular by the Roman Boethius (6th century CE), “the great, astonishing and very sudden relationship (*concordiam*) that exists between music and the proportions of numbers (*numerum proportione*)”.

[+] Music is a hidden arithmetic exercise of a mind unconscious that it is counting.

[++] It’s by the numbers and not by the sense that one should evaluate the sublimity of music. Study the monochord.

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Neumas, *Micrologus*, Guido d'Arezzo
Manuscript, 12th cent. (Biblioth. Nationale, Paris)

in the movements and configurations of the stars.

In the Boeotian terminology, this corresponded to *musica instrumentalis* (produced by the lyre, flute, etc.), *musica humana* (inaudible, produced in man by the interaction between body and soul), *musica mundana* (produced by the cosmos itself, also known as the music of the spheres).

The cube with 6 faces, 8 vertices and 12 edges, and therefore considered a harmonic solid, together with other more subtle parallelisms between arithmetic and geometry, led classical civilisation to the doctrine of the music of the spheres and, in Aristotle's expression, to consider that the whole sky is number and harmony.

For Joannes Kepler (1571–1630), the movement of the planets was still an immanent music of divine perfection, but this didn't prevent him to conclude the three laws of motion:

1. the planets revolve around the Sun in elliptical orbits;
2. with the Sun as a foci and their orbital areas are travelled in proportion to time;
3. the squares of the periods of revolution of each planet are proportional to the cubes of their average distances from the Sun.

Following his third law, in 1619 Kepler wrote: musical modes or tones are reproduced in a certain way at the extremities of planetary movements. Considering the seven consonant intervals of the octave of his time, he established the following harmonies of



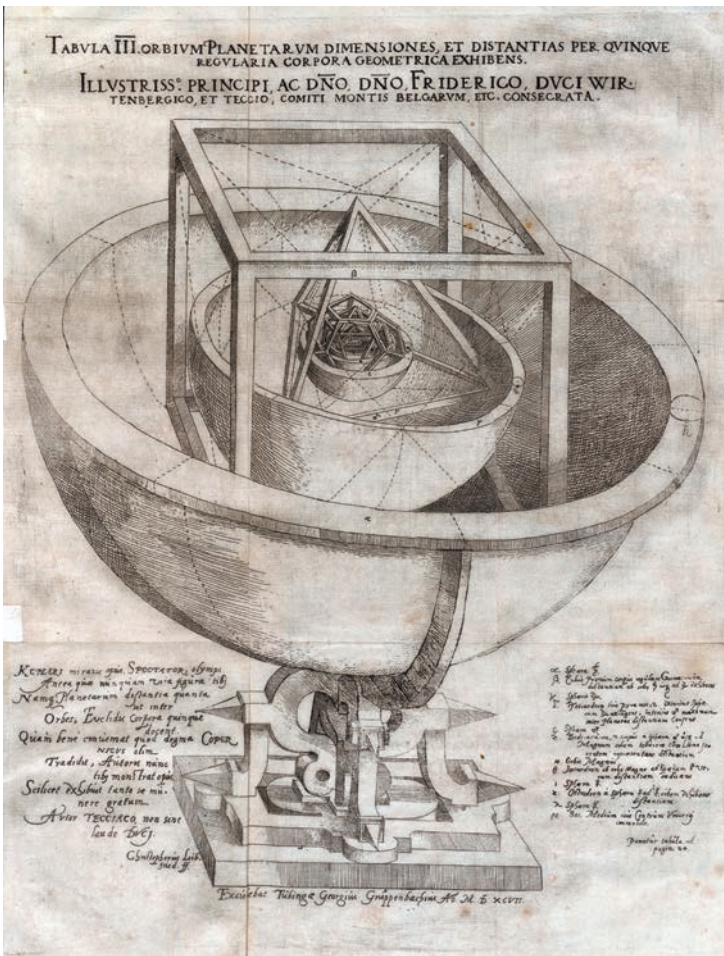
Harmonia Planetarum omnium seu uniuersales Generis Mollis.			
Ut h concordat.		Ut e concordat.	
In Tensione Gravis.	Acutissima.	In Tensione Gravis.	Acutissima.
Sc. Pr. Sec.	Sc. Pr. Sec.	Sc. Pr. Sec.	Sc. Pr. Sec.
de gij	179. 20	de vij	179. 20
b vij	184. 32	c vij	216. 5
g vij	237. 4	g vij	237. 4
de vij	189. 40	de vij	189. 40
Ven ^o de v	94. 50	Ven ^o de v	94. 50
Ter. g iij	59. 16	Ter. g iij	59. 16
b iij	35. 35	Mars g iij	29. 38
g iij	29. 38	b	
Jup. b j	4. 55	Jup. c j	4. 55
b		Sarurn. G	1. 55
h			
G	3. 55		

Rursum hic in tensione media concurrent. Saturnus motu perihelio, Jupiter aphelio, Mercurius perihelio. In tensione altissima ferè concurren perihelium Telluris motus.

Et hic extrinis aphelio Jovis, & perihelio Saturni, admittitur Mercurij aphelium proximum præter perihelium. Cætera inueniunt.

Left
Johannes Kepler (1571–1630)

Right
Harmonices Mundi, 1619



Kepler's *Mysterium cosmographicum* (1596), with the embedding of the cube (Saturn-Jupiter), tetrahedron (Jupiter-Mars), dodecahedron (Mars-Earth), icosahedron (Earth-Venus) and octahedron (Venus-Mercury) in the sphere.

the six known planets:

- Saturn 4 : 5 (a major tertia)
- Jupiter 5 : 6 (a minor tertia)
- Mars 2 : 3 (a fifth)
- Earth 5 : 16 (a half-tone)
- Venus 24 : 25 (a sharp)
- Mercury 5 : 12 (an octave and a minor tertia);

by calculating the aphelion/perihelion ratios for each of them: Saturn travels an arc of 106 or 135 seconds per day when it is at its furthest point (aphelion) or closest (perihelion) to the Sun, respectively, obtaining the ratio $106/135 \sim 4/5$.



Kepler's metaphysics goes so far as to states that the Earth sings the notes MI, FA, MI, so that from them it can be conjectured that misery (MIseria) and hunger (FAMes) prevail in our midst.

2. TONE ALGEBRA

Pythagorean scales are based on the elementary “rational” intervals (octave, fifth and fourth) and their alternating successions, i.e., starting from a sound from a sound $f_0 = f$ and the sound $f_1 = 3f/2$ located a fifth higher on the scale, the sound $f_2 = 3f_1/4 = 9f/8$ will be one fourth below f_1 , the sound f_3 a fifth above f_2 and so on. This gives the *cycle of fifths* as

$$f_n = \left(\frac{3}{2}\right)^n \left(\frac{1}{2}\right)^p f$$

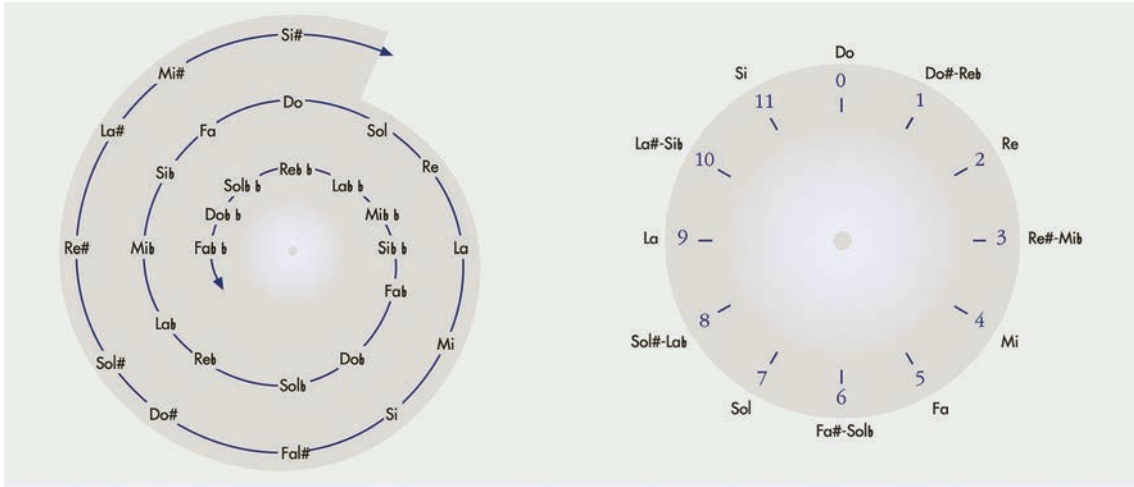
which isn't a real cycle, because if it were, there would have to be two integers n and p such that $3^n = 2^{n+p}$; but an odd number is different from an even number, so it is impossible!

In classical solfege “12 fifths correspond to 7 octaves”, mathematically it would be $3^{12} = 2^{19}$, which is false. We have that

$$\frac{3^{12}}{2^{19}} = \frac{531441}{524288} \approx 1.$$

This only translates into a certain tolerance of the ear to that tuning and this difference is the Pythagorean coma.

A theoretical formulation of equal temperament can already be found in the work *De musica* by F. Salinas, published in Salamanca in 1577, which states that *the octave must be divided into twelve equally proportional parts, which will be the equal semitones*.



Left: A spiral of fifths. Right: A 12-tone chromatic clock

If τ is the interval between two consecutive tones, it is equal to the irrational number

$$\tau = \sqrt[12]{2} = 1,059463094 \dots$$

and represents the ratio of the respective geometric progression. So the frequencies associated with the seven notes of the usual scale are given by $D\acute{o} = f$, $R\acute{e} = \sqrt[6]{2}f$, $Mi = \sqrt[3]{2}f$, $F\acute{a} = \sqrt[12]{2^5}f$, $Sol = \sqrt[12]{2^7}f$, $L\acute{a} = \sqrt[4]{2^3}f$, $Si = \sqrt[12]{2^{11}}f$ e $D\acute{o} = 2f$.

Methods for numerical approximations of equal temperament can be found in Zarlino in the 16th cent. and in M. Mersenne's *Harmonie Universelle* (1636–7) or in A. Kircher's *Musurgia Universalis* (1650).

The theorising of equal temperament in the 17th century will use logarithms. C. Huygens (1629–1695) in *Novus Cyclus Harmonicus* (1691) theorised the division of the octave into 31 equal intervals and was one of the first to introduce the calculation of logarithms into music.

Referring to Salinas and Mersenne as authors who had already considered this division to be of no great consequence, Huygens remarked that if their predecessors had been mistaken because “they hadn't known how to divide the octave into 31 equal parts (. . .) for this the intelligence of Logarithms was necessary.”

In Euler (1707–1783) we find one of the most ingenious algebraic theories of the division of the octave and the degree of consonance of musical intervals.

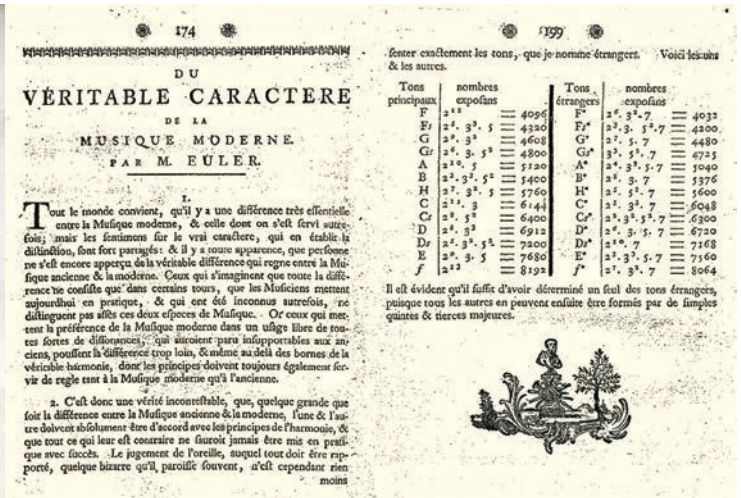
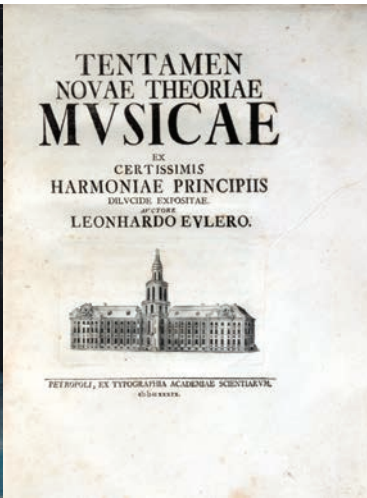
In the *Essay on a new theory of music (Tentamen novae theoriae musicae, 1739)*, Euler develops an ar-

*Divisi Octavae in
partes 31. e.
p. 11.*

	L	II.	III.	IV.
N	97106450	50000	U ^r	C ^a
	46989700043	51131		
	47086306493	52278	S ⁱ	B ^a
	47183912943	53469		
	47281019393	54678	S ^a	B
	47378125843	55914	•	•
	47475232293	57179		
	47572338743	58471	L ^a	A
	47669445193	59794	•	•
	47766551643	61148		
	47863658093	62528	Sol ^a	G ^a
	47960764543	63941		
	48057870993	65388	Sol	G
	48154977443	66868		
	48252083893	68378	F ^a	F ^a
	48349190343	69914		
	48446296793	71506	F ^a	F
	48543403243	73122		
	48640509693	74776	M ⁱ	E
	48737616143	76467	•	•
	48834722593	78196		
	48931829043	79964	M ^a	E ^b
	49028935493	81771	•	•
	49126041943	83621		
	49223148393	85512	R ^e	D
	49320254843	87445	•	•
	49417361293	89421		
	49514467743	91444	U ^r	C ^a
	49611574193	93512		
	49708680643	95627	U ^r	C
	49805787093	97789		
	49902893543	100000		
	49999999993			

Calculations of 1691 of the division of the octave into 31 tones by Huygens using logarithms

gument in which proportions generate musical pleasure, via order and perfection — music is the science of combining sounds in a pleasing harmony — so that, for this mathematician, a musical object is a simple arithmetical object.



Euler introduced a measure of the degree of consonance (agrément) of an interval through an algebraic formula in which p_i are prime numbers and m_i , integer exponents:

$$\alpha(I) = \sum_{i=1}^n (m_i p_i - m_i) + 1.$$

Euler also wrote other essays, such as *Du véritable caractère de la musique moderne* (On the true character of modern music), in *Mémoires de l'Académie des Sciences de Berlin* (1764), 1766.

But the algebra of tones is not limited to the problems associated with temperament, but also appears in the structure of sounds and in musical composition itself.

Musical notes can be grouped into equivalence classes and hence called by the same name, i.e. two notes are said to be equivalent if they are separated by an exact number of octaves, i.e. if they have frequencies p and q , the interval between them is of the form $p/q = 2^k$, with $k = 0, \pm 1, \pm 2, \dots$ and will be denoted by $p \sim q$.

In the 12-note tempered system, the interval is characterised by the number of semitones and the notes can be associated with the set of integers

$$\mathbb{Z}_{12} = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11\}$$

which is a group for addition (mod 12).

O - identidade
 I - inversão (simetria horizontal)
 J - retrogradação (simetria vertical)
 $K = I + J = J + I$ (composição de I com J)

+	O	I	J	K
O	O	I	J	K
I	I	O	K	J
J	J	K	O	I
K	K	J	I	O

Geometric and algebraic representations of a group



Inversion (horizontal symmetry), Petrushka by Igor Stravinsky

Crab Canon The Musical Offering

J.S. Bach

♩ = 120

Another typical example is given in J.S. Bach’s *Musical Offering* of 1747, which presents three types of transformations: translations (upward transpositions, as in the canon *ascendenteque Modulationem ascendat gloria Regis*), horizontal symmetries (melodic inversions, as in the canon *Per Motum Contrarium*) and vertical symmetries (retrogrades, as in the canon a 2 which plays the same theme starting on the last note and moving backwards to the first). Also known as *palindromes* or *crab canons*: $y = -x$.

3. HARMONISATION OF ANALYSIS

Marin Mersenne (1588–1648) is credited with establishing the basic laws of modern string acoustics. *Harmonie universelle* (1636), establishes the experimental laws on the proportionality of the period of vibration of the string, in relation to its length, to the inverse of the square root of its tension and to the square root of its thickness or cross-sectional area.

Galileo Galilei, in *Discorsi e dimostrazioni matematiche . . .* (1638) refers to the question of vibrating strings and consonance as follows:

. . . the first and immediate reason on which the ratios of mu-

sical intervals depend is neither the length of the strings nor their thickness, but the proportion existing between the frequencies of the vibrations, and therefore of the waves which, propagating in the air, reach the eardrum of the ear causing it to vibrate at the same intervals of time.

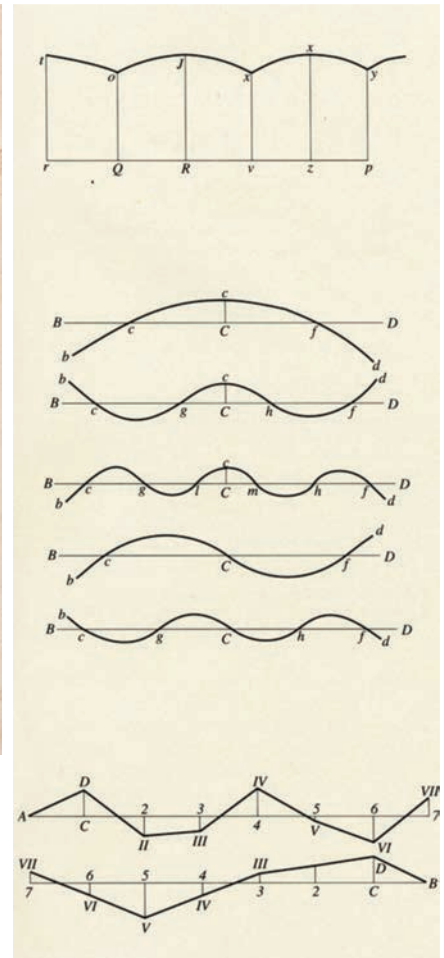
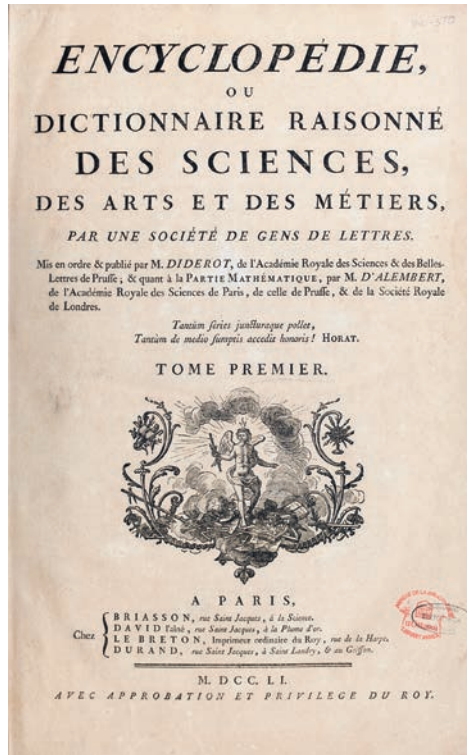
The mathematical analysis of the sound starts with the modeling of the vibrating string, namely with the computation of its fundamental period by B. Taylor in 1713, with the first ODE analysis by Jean Bernoulli in 1727 and the famous controversy between D’Alembert and Euler on the admissible initial conditions on the wave equation.

It is above all with the introduction of the equation

$$\frac{\partial^2 u}{\partial t^2} = c^2 \frac{\partial^2 u}{\partial x^2}$$

in D’Alembert’s 1747 memoir published by the Berlin Academy, *Recherche sur la courbe que forme une corde tendue mise en vibration*, and with the subsequent works of Euler, Daniel Bernoulli and Lagrange, that the mathematical theory of the “musical string” acquires the appropriate model for small vibrations, which will be decisive in the study of oscillations in continuous media, in particular the propagation of sound in air.

During the course of the famous “vibrating string controversy”, a scientific dispute involving the leading mathematicians of the 1700s, Daniel Bernoulli, in



a 1753 letter, established the principle of the superposition of small harmonic oscillations as a physical law and not so much as a mathematical result, concluding that

every sounding body potentially contains an infinity of sounds and a corresponding infinity of ways of producing their respective vibrations.

In a memoir by the Turinese mathematician Lagrange (1736-1813), we find a formula for the solution of the

wave equation which, in the 19th century, after the work of Fourier, will allow us to demonstrate D. Bernoulli's principle of superposition of waves. Lagrange not only sought to analyse the propagation of sound, he also tried to provide a scientific explanation for Tartini's theory of the combination of tones, set out in his Treatise on Music of 1754.

The musical string is just the first mathematical example of sound analysis. Both the sound produced by

(a) (b) (c) (d) (e) (f) (g)

Soit $\frac{X}{a}$ la raison générale des indices des Y et des V au nombre m , X dénotera la partie de l'axe qui leur est correspondante dans le premier état du système; donc, si l'on emploie le signe intégral \int pour exprimer la somme de toutes ces suites, on aura

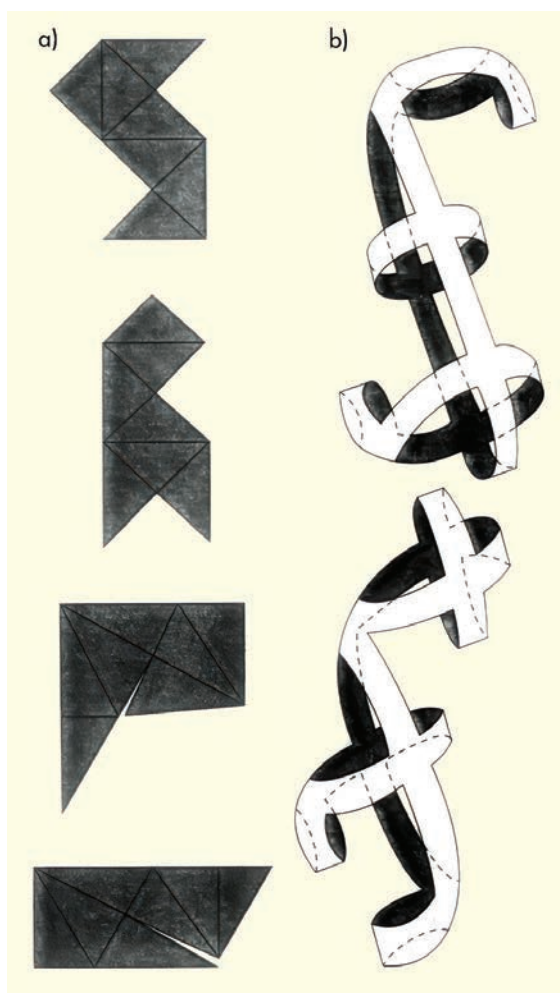
$$y = \frac{2}{a} \int dx Y \left(\sin \frac{\omega X}{2a} \sin \frac{\omega x}{2a} \cos \frac{\omega H t}{2T} + \sin \frac{2\omega X}{2a} \sin \frac{2\omega x}{2a} \cos \frac{2\omega H t}{2T} + \sin \frac{3\omega X}{2a} \sin \frac{3\omega x}{2a} \cos \frac{3\omega H t}{2T} + \dots \right) + \frac{4T}{\omega H a} \int dx V \left(\sin \frac{\omega X}{2a} \sin \frac{\omega x}{2a} \sin \frac{\omega H t}{2T} + \frac{1}{2} \sin \frac{2\omega X}{2a} \sin \frac{2\omega x}{2a} \sin \frac{2\omega H t}{2T} + \frac{1}{3} \sin \frac{3\omega X}{2a} \sin \frac{3\omega x}{2a} \sin \frac{3\omega H t}{2T} + \dots \right),$$

Left: Ratios of frequencies of two pure tones (a) 1:1 (b) 15:16 (c) 4:5 (d) 2:3 (e) 20:31 (f) 30:59 (g) 1:2.

Right: An excerpt of *Recherches sur la nature de la propagation du son* (1759) by Lagrange.

most musical instruments and the human ear itself require mathematical models that take into account the various dimensions of physical space and geometry.

In the mathematical analysis of the sound a famous question arose: *is it possible to hear the shape of a drum?* This question, which has a precise and profound meaning in maths, consists of knowing whether from the same family of eigenvalues, i.e., numbers $\lambda = \lambda_n$, $n = 1, 2, \dots$, that satisfy the equation $\Delta u + \lambda u = 0$ in two domains Ω_1 and Ω_2 it is possible to say that these regions are congruent in the sense of Euclidean geometry. Of all the drums with the same area, the round one has the deepest sound.



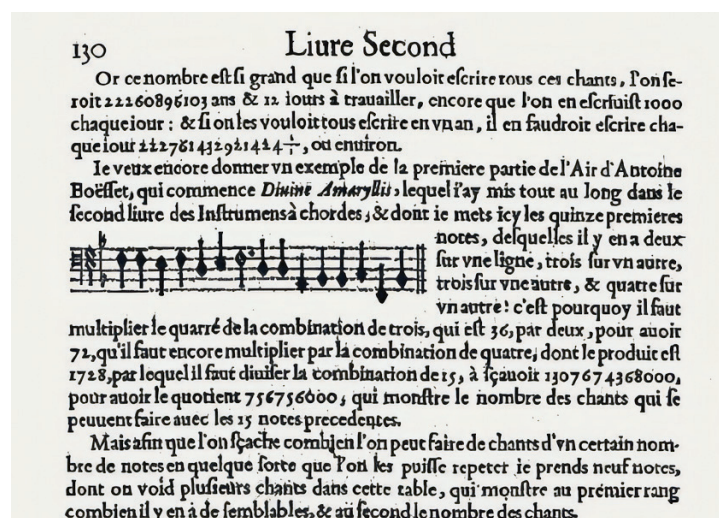
a) Isospectral (reproducing the same sound) drum shapes (flat polygons) with different shapes (C. Gordon and D. Webb, 1991).
b) Isospectral spatial shapes of bells (Riemannian surfaces) by P. Buser (1986).

4. DIGITAL MUSURGIA

As early as the 17th century, an obscure German mathematician, K. Schott, following the ideas of Mersenne and his teacher Kircher, author of a *Musurgia Universalis* (1650), argued in his *Organum mathematicum* (1668) that to compose harmonic chants it was enough to master the new art of music-arithmetic, which consisted of combining the *bacilli musurgici* (the musical keys) and using the *abaci melothetici* and the *tabulae musarithmeticae*.

These ideas were based on the new combinatorial art of Mersenne, in *Harmonie Universelle* (1636), for whom composing was reduced to combining, he had distinguished permutations without repetition of a given number of n notes (ordinary combinations which he calculated up to $n = 64$) $S_n = n!$, from permutations with repetition of n notes (p are different), which he used to calculate the table of chants that can be made from 9 notes, he also calculated arrangements without repetition (p different notes among n given) and also combinations without repetition.

In the evolution of mathematics from the 17th to the 18th century, particularly for G.W. Leibniz, the mathematical sciences acquired a broader role as a science about the representations of all possible relationships and dependencies of the simplest elements, seeking a universal language and an algebra of reasoning, perfect-



M. Mersenne, *Harmonie Universelle* (1636–37)

3345252661163807108334012053440751647352000000000	XL1
24050006117752879898550028926244511569188784000000000	XLII
60415263063738356376512438285139974751177120000000000	XLIII
2658271574788448768056654728454615888905179128000000000	XLIV
1076599937789321741062945165124119435006597617840000000000	XLV
4952592438308800088895477595709494010303490880640000000000	XLVI
232760917360051360417808744699834621848426407139008000000000	XLVII
11172140332824653000548197455920618487244675426713840000000000	XLVIII
547453677630840799701686167534011030587498309590946816000000000	XLIX
1273726818815420399851143083767005512937494547954734080000000000	L
1396006879586440392418497272117281279981211945691438080000000000	LI
725923561389949004055618581500986365590235541175954780160000000000	LII
38473949005366729721494778481955217737627848368132560348480000000000	LIII
2077593246289803404960718038025582197819038118845582580917920000000000	LIV
1142676285459391872728394921091407026380754705653650704195048560000000000	LV
12469439142053106000121441100547729018830180619015774615193600000000000	LVI
710718030983038704200703615431205540733203952838992530194601520000000000	LVII
41223965797116244843640809693961079913625257293646616767087004160000000000	LVIII
24322139820298684457748077719438036736038901803255028928668133245440000000000	LIX
14593283921792106746488466316618210416233410319509017357008799472640000000000	LX
88519031742293185115357964455143214443902380599900500587892536767831040000000000	LXI
548817996802217477151193796094879296141947597191810364493372796055244800000000000	LXII
34575635798387181060588209151977395656942698423111355296308248615148042140000000000	LXIII
2212840593106477958787864538185455332204433271188554673876372791135947470336000000000	LXIV

M. Mersenne, Harmonie Universelle (1636-37)

PROPOSITIO IV.

Quatuor vocum Tetrachordi seu Diatessaron, VT, RE, MI, FA, Combinationes seu varietates notis vulgaribus exprimere.

ing calculation and creating new algorithms to which it became necessary to give a symbolism appropriate to the essence of the concepts and operations.

In his dissertation on the art of combinatorics (1666), the young Leibniz already intended to reorganise logic, but it was after the creation of the Calculus that he referred to binary notation in a 1701 letter to J. Bernoulli: Many years ago an original idea occurred to me about a type of arithmetic where everything is expressed with 0 and 1.

However, this new type of binary arithmetic was only realised in modern computers, where each bit

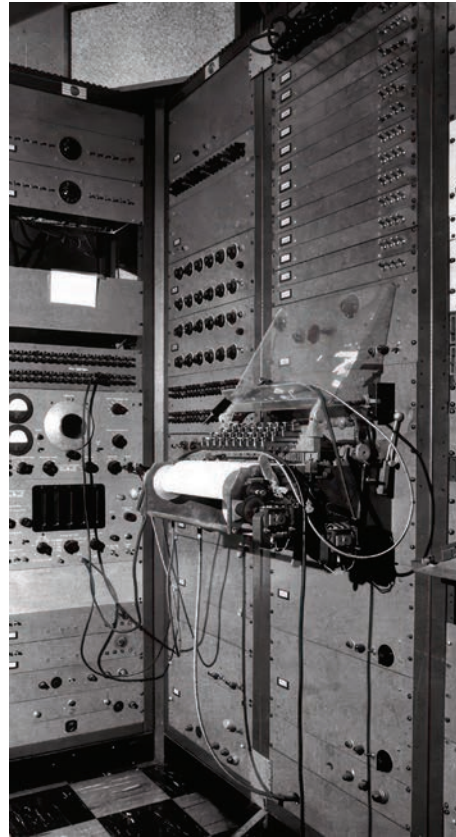
represents an electrical state: on (current) is associated with the number 1; off (no current) is associated with 0; and sequences of electrical impulses, such as 01000001 which represents the number 65 in the binary system, and which can also be assigned to the capital letter A using another code.

Forerunners of modern calculators, the machines of the 17th century had a limited impact, in particular those of W. Schickard (1592–1635) and B. Pascal (1623–1662), capable of adding and subtracting mechanically, or that of Leibniz in 1671, which could also multiply and divide.



Left: G. W. Leibniz (1646–1716)

Right; Binary system designed by Leibniz, which reads "one created everything out of nothing" at the top and "one is necessary" at the bottom.



Left:
Ada Lovelace (1815-1852)

Right:
RCA Mark II
Electronic Music
Synthesizer,
H. Olson e H. Belar (1957)

However, only C. Babbage's (1791-1871) mechanical machines, namely the Difference Engine (1821) and the Analytical Engine (1834), are considered to be the forerunners of electronic computers, even though they were never built.

In a passage on the conception of that machine, Ada Lovelace specifically states that its operative mechanism could act on things other than numbers, objects such that their fundamental reciprocal relationships could be expressed by the abstract science of operations and, as a concrete example within the framework of the operative notation and mechanisms of the Analytical Engine, explicitly supposes that the fundamental relationships of sounds determined in the science of harmony and musical composition could be expressed and adaptable to its action; the machine could compose scientific and elaborate musical pieces, with any degree of complexity or extension.

However, a sufficiently powerful mechanism capable of incorporating the science of operations only appeared with the modern computer in the second half of the 20th century.

The first experiments in computer-assisted musical composition appeared from the start L. Hiller in 1956 in the USA, followed by P. Barbaud and I. Xenakis in

France and others. At Bell Laboratories, in 1957, M. Mathews and his collaborators made the first numerical record and the first computer synthesis of sounds and, in 1965, J.C. Risset computer-simulated the first sounds of musical instruments.

In 1973, the first numerical synthesiser was built, Synclavier, which was then commercialised, and about ten years later the public had access to digital recording CD's (compact discs).

Since 1983, the MIDI (Musical Instrumental Digital Interface) standard has allowed computers to record and edit music.

If today we have the mastery of numerisation in the analysis and synthesis of musical sound, if we have begun to outline the mathematisation of certain musical structures and computers allow us to hear mathematical calculations and structures, i.e. to paraphrase Saccheri we have *Pythagoras ab omni naevo vindicatus sive Conatus arithmeticus quo stabiliuntur prima ipsa universa musica principia* (*Pythagoras freed from all taint or the arithmetical attempt to establish the first principles of all music*). we can continue to agree with Aristoxenus and accept that the justification of music lies in the pleasure of its hearing and its enjoyment.